

# Consistency results in topology and homotopy theory

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**Abstract:** Main results is: (1) let  $\kappa$  be an inaccessible cardinal and  $H_\kappa$  is a set of all sets having hereditary size less than  $\kappa$ , then  $\text{Con}(ZFC + (V = H_\kappa))$ , (2) there is a Lindelöf  $T_3$  indestructible space of pseudocharacter  $\leq N_1$  and size  $N_2$  in  $L$ .

**Keywords:** Inner Model of ZFC, Inaccessible Cardinal, Weakly Compact Cardinal, Lindelöf Space, Indestructible Space,  $N_1$  Borel Conjecture

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## 1. Introduction

A note on the term large cardinal is in order. A cardinal number is ‘large’ if the assumption of its existence, when added to the axioms of ZFC, proves the consistency of ZFC. This works as follows, for any cardinal  $\kappa$  one can consider the set  $H_\kappa$  – the set of all sets which have size less than  $\kappa$  and whose members and members of members and . . . all have size less than  $\kappa$ . Loosely speaking  $\kappa$  is large if  $H_\kappa$  is a model of ZFC.

Theorem 1. [7].  $\neg \text{Con}(ZFC + (V = H_\kappa))$ .

A cardinal number  $\kappa$  is an inaccessible cardinal (also a strongly inaccessible cardinal) if it is regular and  $2^\lambda < \kappa$  whenever  $\lambda < \kappa$  is a cardinal.  $\kappa$  is a weakly compact cardinal if it is inaccessible and, whenever  $T$  is a tree of height  $\kappa$  with levels of size less than  $\kappa$ , then  $T$  has a branch of length  $\kappa$ .  $\kappa$  is a measurable cardinal if there is a nonprincipal  $\kappa$ -complete ultrafilter (i.e., closed under intersections of size less than  $\kappa$ ). A cardinal  $\kappa$  is a strongly compact cardinal if every  $\kappa$ -complete filter can be extended to a  $\kappa$ -complete ultrafilter. All of these cardinals have several equivalent formulations. The easiest to state are often in terms of ultrafilters, but the most useful involve elementary embeddings.

Definition 1. Any inner model of ZFC is a class  $M = \{x: \phi(x)\}$ , for some formula  $\phi$ , such that ZFC holds in  $M$ . An elementary embedding  $j: V \rightarrow M$ , where  $V$  is the universe of sets, is a function such that for every  $a_1, \dots, a_n \in V$ , and for every formula  $\psi(x_1, \dots, x_n)$ ,  $\psi(a_1, \dots, a_n)$  holds if and only if  $\psi(j(a_1), \dots, j(a_n))$  holds in  $M$ .  $M$  is closed under  $\lambda$ -sequences. If  ${}^\lambda M$ , the class of all  $\lambda$ -sequences of members of  $M$ , is a subclass of  $M$ .

From definitions one can prove that.

Theorem 2.  $\kappa$  is measurable if and only if there is an inner model  $M$  closed under  $\kappa$ -sequences and an elementary embedding  $j: V \rightarrow M$  such that  $j(\kappa) > \kappa$ .

From Theorem 1 and Theorem 2 one obtain directly.

Theorem 3. Assume that  $\kappa$  is measurable cardinal. There is no any inner model  $M$  of ZFC such mentioned above.

Large cardinals sometimes appear in purely topological contest. Well known from Jones’ Lemma that  $2^{|D|} \leq 2^{d(X)}$  whenever  $D$  is a closed discrete subset of a normal space  $X$ , where  $d(X)$  denotes the density of  $X$ . The extent of  $X$ , denoted  $e(X)$ , is the supremum of the cardinalities of the closed discrete subsets of  $X$  and this suggests the natural question whether also  $2^{e(X)} \leq 2^{d(X)}$  for normal spaces. This leads to inaccessible cardinals: if  $2^{e(X)} > 2^{d(X)}$  then  $e(X)$  is a weakly inaccessible cardinal. For example from an inaccessible cardinal one can prove the consistency of the existence of a normal space satisfying the above inequality. Strongly compact and weakly compact cardinals can be equivalently formulated topologically:  $\kappa$  is strongly compact if and only if the  $\kappa$ -box product of  $\kappa$ -compact spaces is  $\kappa$ -compact, wherein one takes the Tychonoff Product Theorem and replaces “finite” by “ $< \kappa$ ” everywhere;  $\kappa$  is weakly compact is the ordinary product of  $\kappa$ -compact spaces is again  $\kappa$ -compact. The most significant uses of large cardinals in topology occur in contexts in which one is proving the consistency of universal statements about objects of unbounded cardinality, for example, the Normal Moore Space Conjecture: all normal Moore spaces are metrizable, or the Moore–Mrówka problem: compact spaces of countable tightness are sequential.

The latter is an application of the Proper Forcing Axiom (PFA) [33], which is proved consistent from the consistency of a supercompact cardinal, and applications of which – in contrast to those of Martin’s Axiom – often require the practitioner to actually do some forcing. Frequently, finer analyses of PFA consequences reveal that in fact one need only consider objects of bounded cardinality, in particular  $\aleph_1$ . In such cases, a more delicate forcing argument enables one to avoid large cardinals. That is the case with the Moore–Mrówka problem referred to above.

## 2. Consistency Results in Topology

**Definition 1.** [28]. A Lindelöf space is indestructible if it remains Lindelöf after forcing with any countably closed partial order.

**Theorem 1.** [29]. If it is consistent with ZFC that there is an inaccessible cardinal, then it is consistent with

ZFC that every Lindelöf  $T_3$  indestructible space of weight  $\leq \aleph_1$  has size  $\leq \aleph_1$ .

**Corollary 1.** [29] The existence of an inaccessible cardinal and the statement:  $\mathcal{L}[T_3, \leq \aleph_1, \leq \aleph_1] \triangleq$  "every

Lindelöf  $T_3$  indestructible space of weight  $\leq \aleph_1$  has size  $\leq \aleph_1$ ", are equiconsistent.

**Theorem 2.**  $\neg \text{Con}(\text{ZFC} + \mathcal{L}[T_3, \leq \aleph_1, \leq \aleph_1])$ .

**Proof.** Theorem 1 immediately follows from Theorem 3.6 [32] and Corollary 1.

**Definition 2.** The  $\aleph_1$ -Borel Conjecture is the statement:  $BC[\aleph_1] \triangleq$  "a Lindelöf space is indestructible if and only if all of its continuous images in  $[0; 1]^{\omega_1}$  have cardinality  $\leq \aleph_1$ ".

**Theorem 3.** [29]. If it is consistent with ZFC that there is an inaccessible cardinal, then it is consistent with ZFC that the  $\aleph_1$ -Borel Conjecture holds.

**Corollary 2.** The  $\aleph_1$ -Borel Conjecture and the existence of an inaccessible cardinal are equiconsistent.

**Theorem 4.**  $\neg \text{Con}(\text{ZFC} + BC[\aleph_1])$ .

**Proof.** Theorem 4 immediately follows from Theorem 3.6 [32] and Corollary 2.

**Theorem 5.** [29]. If  $\omega_2$  is not weakly compact in  $L$ , then there is a Lindelöf  $T_3$  indestructible space of pseudocharacter  $\leq \aleph_1$  and size  $\aleph_2$ .

**Corollary 3.** The existence of a weakly compact cardinal and the statement:  $\mathcal{L}[T_3, \leq \aleph_1, \leq \aleph_2] \triangleq$  "there is no

Lindelöf  $T_3$  indestructible space of pseudocharacter  $\leq \aleph_1$  and size  $\aleph_2$  are equiconsistent.

**Theorem 6.** There is a Lindelöf  $T_3$  indestructible space of pseudocharacter  $\leq \aleph_1$  and size  $\aleph_2$  in  $L$ .

**Proof.** Theorem 6 immediately follows from Theorem 3.6 [32] and Theorem 5.

**Theorem 7.**  $\neg \text{Con}(\text{ZFC} + \mathcal{L}[T_3, \leq \aleph_1, \leq \aleph_2])$ .

**Theorem 8.** (Solovay) If  $\omega_2$  is not inaccessible in  $L$ , then there is a Kurepa tree.

**Corollary 4.** (Solovay) There is a Kurepa tree in  $L$ .

**Proof.** Immediately follows from Theorem 3.6 [32] and Theorem 5.

## 3. Consistency Results in Homotopy Theory

Classical homotopy idempotent functors appear frequently in algebraic topology. A homotopy idempotent functor is a functor  $E$  from some model category to itself that carries weak equivalences to weak equivalences and is equipped with a natural transformation [36]:  $\delta: \text{Id} \rightarrow E$  such that both  $\delta E$  and  $E\delta$  induce weak equivalences  $EX \cong EEX$  for all  $X$ . In [37], Farjoun developed a theory of localization with respect to any map  $f: A \rightarrow B$ . Farjoun’s construction associates functorially with each space  $X$  a map  $X \rightarrow L_f X$  which is universal, up to homotopy, among maps from  $X$  into fibrant spaces  $Y$  such that the map off function complexes

$$\text{map}(B, Y) \rightarrow \text{map}(A, Y)$$

induced by  $f$  is a weak equivalence. We assume that any spaces will be simplicial sets, and maps and function complexes will be unbased. For each map  $f$ , the functor  $L_f X$  is homotopy idempotent and continuous, that is, it induces a natural map of function complexes

$$\text{map}(X, Y) \rightarrow \text{map}(L_f X, L_f Y)$$

for all  $X$  and  $Y$ , preserving composition and identity. Dror Farjoun to ask in his paper [37]: if every homotopy idempotent functor on simplicial sets is equivalent to some  $f$ -localization?. In [35] was shown that it is impossible to answer this question “yes” using only ZFC axioms.

Moreover, a negative answer to this question in ZFC is not to be expected, as it would imply the inconsistency of certain large-cardinal axioms that are believed to be consistent with ZFC after many years of related developments in set theory.

We remind that an cardinal  $\lambda$  is *regular* if it is infinite and cannot be expressed as a sum of cardinals  $\sum_{i < \alpha} \lambda_i$ , where  $\alpha < \lambda$ ,  $\lambda_i < \alpha$  for all  $i$ . Otherwise,  $\lambda$  is called *singular*.

**Definition 1.** A partially ordered set is called directed if every pair of elements has an upper bound. More generally, for any regular cardinal  $\lambda$ , a partially ordered set is called  $\lambda$ -directed if every subset of cardinality smaller than  $\lambda$  has an upper bound.

**Definition 2.** An object  $X$  of a category  $C$  is called  $\lambda$ -presentable, where  $\lambda$  is a regular cardinal, if

the functor  $C(X, -)$  preserves  $\lambda$ -directed colimits, that is, colimits of diagrams  $D: I \rightarrow C$  where  $I$  is a  $\lambda$ -directed partially ordered set.

**Definition 3.** A category  $C$  is *locally presentable* if it is cocomplete and there is a regular cardinal  $\lambda$  and a set  $X$  of  $\lambda$ -presentable objects such that every object of  $C$  is a  $\lambda$ -directed colimit of objects from  $X$ .

**Definition 4.** An *idempotent monad* on a category  $C$  is a pair  $(E, \delta)$  consisting of a functor  $E$  and a natural transformation  $\delta: \text{Id} \rightarrow E$  such that  $\delta_{EX}: EX \rightarrow EEX$  is an isomorphism for every object  $X$ , and  $\delta_{EX} = E\delta_X$  for all  $X$ .

**Definition 5.** For simplicity, we say that a functor  $E$  is idempotent if it is part of an idempotent monad. Then we also call it a *reflection* or a *localization*.

**Definition 6.** An object  $X$  and a morphism  $f: A \rightarrow B$  in a

category  $C$  are *orthogonal* if the map

$$C(f, X): C(B, X) \rightarrow C(A, X)$$

is bijective; that is,  $X$  and  $f$  are orthogonal iff for every morphism  $g: A \rightarrow X$  there is a unique morphism  $h: B \rightarrow X$  such that  $h \circ f = g$ . The class of objects that are orthogonal to a given class  $S$  of morphisms is denoted by  $S^\perp$  and called the *orthogonal complement* of  $S$ . The same notation is used by exchanging the role of objects and morphisms.

**Definition 7.** A class  $D$  of objects in a category  $C$  is called *reflective* if it is the class of  $E$  local objects for some idempotent functor  $E$ . (No distinction is made here between a class of objects and the full subcategory with those objects.)

**Definition 8.** A class of objects  $D$  is called a *small-orthogonality class* if there is a set  $M$  of morphisms (not a proper class) such that  $M^\perp = D$ .

**Definition 9.** A functor  $E$  on the category of simplicial sets is *homotopy idempotent* if it carries weak equivalences to weak equivalences and is equipped with a natural transformation:  $\delta: \text{Id} \rightarrow E$  such that  $\delta_{EX} \cong E\delta_X$  and  $\delta_{EX}: EX \rightarrow EEX$  is a weak equivalence for all  $X$ .

It is well known that, in the category of groups, for every (possibly proper) class  $S$  of epimorphisms, the orthogonal complement  $S^\perp$  is reflective [38].

**Definition 10.** For any group  $G$ , let  $TG$  be the intersection of all kernels of epimorphisms from  $G$  onto groups in  $S^\perp$ .

Then  $EG = G/TG$  is the desired reflection.

**Definition 11.** In the special case when  $S$  is a class of homomorphisms of the form  $A_\alpha \rightarrow 0$ , where  $A_\alpha$  ranges over a set or a class  $A$  of groups, the corresponding reflection will be called  $A$ -reduction and denoted  $P_A$ .

Thus, a group  $G$  is  $A$ -reduced if and only if the set  $\text{Hom}(A_\alpha, G)$  is trivial for every  $A_\alpha$  in  $A$ .

For each cardinal  $\kappa$ , we denote by  $Z^\kappa$  the cartesian product group of  $\kappa$  copies of the additive group of integers; that is,  $Z^\kappa$  is the abelian group of all functions  $f: \kappa \rightarrow \mathbb{Z}$ . For a function  $f \in Z^\kappa$ , the support  $\text{supp}(f)$  is the set of indices  $i \in \kappa$  for which  $f(i) \neq 0$ .

We write  $Z^{<\kappa}$  to designate the set of all functions  $f \in Z^\kappa$  such that the cardinality of  $\text{supp}(f)$  is smaller than  $\kappa$ .

**Theorem 1.** [35] Suppose that all cardinals are nonmeasurable. If  $A$  is the class of groups  $Z^\kappa/Z^{<\kappa}$  for all cardinals  $\kappa$ , then there is no single group homomorphism  $\varphi$  such that  $\varphi$ -localization is isomorphic to  $A$ -reduction on the category of groups.

We remind that an uncountable cardinal  $\mu$  is measurable if it admits a nontrivial, two valued,  $\mu$ -additive measure, that is, if a function  $\mu$  can be defined on any set  $X$  of cardinality  $\mu$  assigning to each subset of  $X$  a value 0 or 1, in such a way that  $\mu(X) = 1$ ,  $\mu(x) = 0$  for all  $x \in X$ , and  $\mu(\bigcup_i A_i) = \sum_i \mu(A_i)$  if the subsets  $A_i$  are pairwise disjoint and the set of indices  $i$  has cardinality smaller than  $\mu$ .

**Theorem 2.** If  $A$  is the class of groups  $Z^\kappa/Z^{<\kappa}$  for all cardinals  $\kappa$ , then there is no single group homomorphism  $\varphi$  such that  $\varphi$ -localization is isomorphic to  $A$ -reduction on the category of groups.

**Proof.** Note that the existence of measurable cardinals

cannot be proved in  $ZFC$ , since every measurable cardinal is strongly inaccessible; see [39]. Therefore Theorem 2 immediately follows from Theorem 3.6 [32] and Theorem 1.

**Theorem 3.** [35] Suppose that all cardinals are nonmeasurable. Then there is a homotopy idempotent functor  $E$  on simplicial sets that is not equivalent to  $f$ -localization for any map  $f$ .

**Theorem 4.** There is a homotopy idempotent functor  $E$  on simplicial sets that is not equivalent to  $f$ -localization for any map  $f$ .

**Proof.** Note that the existence of measurable cardinals cannot be proved in  $ZFC$ , since every measurable cardinal is strongly inaccessible; see [39]. Therefore Theorem 4 immediately follows from Theorem 3.6 [32] and Theorem 3.

**Definition 11.** A class of objects is called rigid if it admits no other morphisms than identities.

Vopenka's principle [39]: no locally presentable category contains a rigid proper class of objects.

**Definition 12.** Recall that, if  $E$  is a homotopy idempotent functor on simplicial sets, a simplicial set  $X$  is called  $E$ -acyclic if  $EX$  is contractible. A universal  $E$ -acyclic space is a simplicial set  $U$  such that the nullification  $PU$  kills the same simplicial sets as  $E$  does.

**Theorem 5.** [35] The existence of a universal  $E$ -acyclic space for every homotopy idempotent functor  $E$  on simplicial sets is ensured by Vopenka's principle. However, if we assume that measurable cardinals do not exist, then there are homotopy idempotent functors on simplicial sets for which no universal acyclic space exists.

**Theorem 6.** There are homotopy idempotent functors on simplicial sets for which no universal acyclic space exists.

Theorem 6 immediately follows from Theorem 3.6 [32] and Theorem 5.

## 4. Von Neumann's Problem and Large Cardinals

In 1937 von Neumann asked whether every ccc weakly distributive complete Boolean algebra is a measure algebra [40].

**Definition 1.** A subset of a Boolean algebra is an antichain if it consists of nonzero elements but the meet of any two of its members is zero.

**Definition 2.** A Boolean algebra is ccc if it does not have uncountable antichains.

**Definition 3.** A complete Boolean algebra is weakly distributive if for every sequence  $A_n (n \in \mathbb{N})$  of maximal antichains there is a maximal antichain  $A$  such that for every  $a \in A$  and  $n \in \mathbb{N}$  the set  $\{b \in A_n | b \wedge a \neq 0\}$  is finite.

**Definition 4.** A complete Boolean algebra  $B$  is a measure algebra if it carries a  $\sigma$ -additive measure  $\mu: B \rightarrow [0, 1]$  that is strictly positive:  $\mu(a) = 0$  implies  $a = 0$ .

**Definition 5.**  $\varphi: B \rightarrow [0, 1]$  is a submeasure if  $\varphi(0) = 0$  and it is monotonic and subadditive:  $\varphi(a \cup b) \leq \varphi(a) + \varphi(b)$ .

**Definition 5.** A complete Boolean algebra  $B$  is a Maharam algebra if it carries a strictly positive continuous submeasure.

Bellow  $o(\kappa)$  denotes the Mitchell order of a measurable

cardinal  $\kappa$ .

Recall that every measure algebra is a Maharam algebra, and every Maharam algebra has *ccc* and is weakly distributive

Theorem 1. [41]. Assume every *ccc* weakly distributive complete Boolean algebra is a Maharam algebra. Then there is an inner model with a measurable cardinal  $\kappa$  such that  $(\kappa) = \kappa^{++}$ .

Theorem 2. There is *ccc* weakly distributive complete Boolean algebra  $B$  such that  $B$  is no Maharam algebra.

Theorem 3. [41]. Assume every weakly distributive complete Boolean algebra  $B$  such that every completely countably generated subalgebra is a measure algebra and  $B$  has property  $K$  is a Maharam algebra. Then there is an inner model with a measurable cardinal  $\kappa$  such that  $(\kappa) = \kappa^{++}$ .

Theorem 4. There is a weakly distributive complete Boolean algebra  $B$  such that every completely countably generated subalgebra is a measure algebra and  $B$  has property  $K$  such that  $B$  is no Maharam algebra.

Theorem 5. [42] For any infinite regular cardinal  $\kappa$ , the following are equivalent:

(1) Every  $\kappa$ -complete filter  $F$  on a  $< \kappa$ -distributive complete Boolean algebra  $B$  is contained in a  $\kappa$ -complete ultrafilter  $U \subseteq B$ .

(2)  $\kappa$  is strongly compact.

Theorem 6. For any infinite regular cardinal  $\kappa$  there is  $\kappa$ -complete filter  $F$  on a  $< \kappa$ -distributive complete Boolean algebra  $B$  such that  $F$  is not contained in a  $\kappa$ -complete ultrafilter  $U \subseteq B$ .

## 5. Conclusions

Whenever if one uses inexistence large cardinals to establish the consistency of an topological statement  $\phi$ , the canonical way one shows that a statement  $\neg\phi$  imply the an existence an large cardinals is to show that if  $\neg\phi$  holds, then there is an inner model  $M$  which has a large cardinal and so statement  $\phi$  holds. For example, note that the consistency of the existence of an inaccessible cardinal enables one to prove the consistency of there is Kurepa trees, one then shows that if there is no Kurepa tree, then  $\aleph_2$  is an inaccessible cardinal in Gödel's constructible universe  $L$  and hence that it is consistent that there is an inaccessible cardinal in  $L$  and therefore: there is a Kurepa tree in  $L$ .

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